

## General Buy Direction Instructions

### Form Use

Use this form when investing in promissory notes NOT collateralized by real estate. If you need assistance completing the forms, please call us at:

888-322-6534 option 1

### Fees and Processing

Asset purchase fee: \$50

Check fee: \$10

Wire fee: \$30

Overnight delivery: \$30 *(if requested)*

Expedited review fee: \$100 *(if requested)*

IRAR processing time: 2-3 Days

### Submission Options

 **Email**  
forms@iraresources.com

 **Fax**  
858-459-6565

 **Mail**  
IRA Resources, Inc.  
100 Pringle Ave, Suite 650  
Walnut Creek, CA 94596

### IMPORTANT

You must have enough funds in your account to cover the purchase of the investment and transaction fees. Lack of funds will delay your purchase.

### Instructions

It is imperative that all purchase documentation are properly titled/vested in the name of the IRA.

**Example:** IRAR Trust FBO [Client Name, Account#]  
Use IRAR Trust Co. information when a purchaser's Tax ID or physical address is required on the documents.

**IRAR Trust Co. Tax ID: 83-1624780**  
IRA Resources, Inc.  
100 Pringle, Suite 650  
Walnut Creek, CA 94596

**Do not sign supporting documents or agreement where a purchaser's signature is required.**

IRAR must sign all documentation for the purchase on behalf of the client's account. Mark the Purchase Agreement and other documents as "*read and approved*" with your signature at the top of every page where a signature is needed before submitting to IRAR.

**Example:** *Read and Approved: John Doe*

### Required Documentation For Transaction

All Buy Direction Letters must be accompanied by supporting documentation.

**For example:**  
Unsecured Promissory Note: *Original Note*  
Purchase of Cattle: *Contract or Purchase Agreement*

**NOTE:** IRAR may reject the Buy Direction Letter if we identify that you are engaging in a prohibited transaction. These include, but are not limited to:

- Receiving compensation or reimbursement from the investment entity
- Self-dealing
- Managing the investment

See IRS **Code 4975** for information on prohibited transactions.

# General Buy Direction Letter

## 1. ACCOUNT OWNER INFORMATION

Full Name (as it appears on your account application)	Account Number	Account Type
		<input type="checkbox"/> Traditional <input type="checkbox"/> Roth <input type="checkbox"/> SEP <input type="checkbox"/> SIMPLE <input type="checkbox"/> HSA <input type="checkbox"/> ESA
Email Address	Daytime Phone Number	

## 2. REVIEW AND PROCESSING

 How Do You Want IRAR to Review Your Transaction? *(NOTE: The review request does not guarantee the completion of the transaction)*

<input type="checkbox"/> Normal Review Request  <i>Documents are reviewed within approximately 2-3 business days</i>	<input type="checkbox"/> Expedited Review Request (\$100)  <i>Documents are reviewed within one (1) business day if received before noon (PST). Any documents received after noon (PST) will be reviewed by close of next business day</i>
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## 3. TRANSACTION ACKNOWLEDGEMENT

Read the Information Below and Initial to Acknowledge

- Any disqualified party to the IRA may not receive compensation for providing services on this transaction. See [IRS Code Section 4975](#)
- Account must have sufficient funds available before the transaction can be processed
- If the borrower is an entity, its members cannot be disqualified persons or have had interest in the entity

Initial Here: → \_\_\_\_\_ By initialing, I acknowledge that I have read and understand the transaction information above.

## 4. INVESTMENT INFORMATION

Indicate the Type of Purchase and Complete the Section for Your Asset Type

<input type="checkbox"/> New Purchase	<input type="checkbox"/> Additional Funding <i>An additional funding occurs when additional funds are sent out for additional percentage of ownership of the asset</i>	<input type="checkbox"/> Exchange <i>Complete a Sell Direction Letter to remove the current asset from your account</i>
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Investment Name (example: name of LLC, joint venture, private placement, etc.)	Percentage of Ownership
	%

### 1. Stocks, Bonds, or Other Securities

CUSIP:	Ticker Symbol:	Buy at: <input type="checkbox"/> Market Price <input type="checkbox"/> Buy at Limit Order \$
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### 2. Promissory Note

<input type="checkbox"/> Unsecured Note	<input type="checkbox"/> Secured (non-real estate only)	If Secured, Collateralized* by: <small>*see section 8, page 3</small>
Quantity (number of shares, units, etc.)	Price (per share, units)	Total Purchase
	\$	\$

Is This Note Discounted? (check one) This is when the note is purchased at a lower price than its face value or outstanding balance

<input type="checkbox"/> Yes (if so, provide Note Purchasing Agreement)	<input type="checkbox"/> No
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General Buy Direction Letter

Note Payment Schedule
Amortized Interest Only Balloon at Maturity
Monthly Quarterly Annually Other— please specify
Maturity Date Interest Rate Payment Amount
\$

5. BORROWER INFORMATION

Borrower 1: Full Name Email Address Phone Number
Street Address City State Zip
Borrower 2: Full Name Email Address Phone Number
Street Address City State Zip

6. DELIVERY INSTRUCTIONS

How Would You Like to Have the Funds Delivered? (check one)
1. Wire
Bank Name Bank ABA/Routing Number
Account Name Account Number
Reference Number
2. Check 3. Cashier's Check (overnight delivery required)
Payee Name Phone Number (required for cashier's check)
Payee Street Address City State Zip
How Would You Like the Check Delivered?
First Class Mail FedEx Overnight Delivery (additional fee applies)
Mail Check to an Address Different From Payee (enter name below) Phone Number (required for overnight check)
Street Address City State Zip



IRA RESOURCES, INC.

General Buy Direction Letter

7. PAYMENT OF IRAR FEES

How Will You Pay for Transaction Fees?
Transaction fees are charged upon form submission. If credit card is declined, fees will be debited from IRA. Transaction will NOT be processed unless fees are paid.
Debit IRAR Account Credit Card (complete credit card information below)
Credit Card Type
Visa Master Card American Express Discover Save this card to my account for future fees
Name As it Appears on the Card Card Number Expiration Date Security Code
Billing Address Billing City Billing State Billing Zip
By signing below, I authorize my credit card to be charged for the option(s) chosen above. I understand that inaccurate or incomplete credit card information or charges declined by the credit card issuer will delay the processing of the account transaction. Future changes to the option made above must be submitted in writing.
Signature Date

8. ACCOUNT OWNER SIGNATURE AND INVESTMENT ACKNOWLEDGMENT

\*For loans secured by assets other than real estate, IRAR Trust Company needs backup documentation (ex. UCC1 Financing Statement, Security Agreement, etc.) to book the loan as secured. Failure to supply the backup documentation will result in the loan being record kept as an unsecured loan.
Prior to funding, all transaction documents must be notated "read and approved" with your signature and date (for example: subscription documents, promissory notes).
I understand that my account is self-directed and that IRAR Trust Company is acting solely as a passive custodian to hold retirement account assets and in no other capacity. IRAR Trust Company will not review the merits, legitimacy, appropriateness, and/or suitability of any investment in general, including but not limited to: any investigation and/or due diligence prior to making any investment, or in connection with my account in particular. I acknowledge that I have not requested that IRAR Trust Company provide, and IRAR Trust Company has not provided, any advice with respect to the investment directive set forth in this Buy Direction Letter. I understand that it is my responsibility to conduct all due diligence, including but not limited to: search concerning the validity of title, and all other investigation that a reasonably prudent investor would undertake prior to making any investment. I understand that IRAR Trust Company will not determine whether this investment is acceptable under the Employee Retirement Income Securities Act (ERISA), the Internal Revenue Code (IRC), or any applicable federal, state, or local laws, including securities laws. I understand that it is my responsibility to review the risks associated with this investment and I performed the due diligence I deemed necessary on the investment itself to ensure compliance with these requirements. I also understand and agree that IRAR Trust Company will not be responsible to take any action should there be any default with regard to this investment. I understand that IRAR Trust Company is not a "fiduciary" for my account and/or my investment as such terms are defined in the IRC, ERISA, and/or any applicable federal, state, or local laws. I agree to release, indemnify, defend, and hold IRAR Trust Company, and its respective officers, directors, managers, members, employees, agents, owners, representatives, affiliates, and successors harmless from any claims, including but not limited to: actions, liabilities, losses, penalties, fines, and/or claims by others, arising out of this Buy Direction Letter and/or this investment, including but not limited to: claims that an investment is not prudent, proper, diversified or otherwise in compliance with ERISA, the IRC and/or any other applicable federal, state, or local laws. In the event of claims by others related to my account and/or investment wherein IRAR Trust Company is named as a party, IRAR Trust Company shall have the full and unequivocal right at their sole discretion to select their own attorneys to represent them in such litigation and deduct from my account any amounts to pay for any costs and expenses, including but not limited to: all attorneys' fees, costs, and internal costs (collectively "Litigation Costs"), incurred by IRAR Trust Company in the defense of such claims and/or litigation. If there are insufficient funds in my account to cover the Litigation Costs incurred by IRAR Trust Company, on demand, I will promptly reimburse IRAR Trust Company the outstanding balance of the Litigation Costs. If I fail to promptly reimburse the Litigation Costs, IRAR Trust Company shall have the full and unequivocal right to freeze my assets, liquidate my assets, and/or initiate legal action in order to obtain full reimbursement of the Litigation Costs.
I confirm that this purchase does not include any illegal or impermissible investments under South Dakota or Federal law, including, but not limited to, holdings of marijuana or other illegal substances, illegal gambling, or illegal artifacts.
I am directing IRAR Trust Company to complete this transaction as specified above. I confirm that the decision to buy this asset is in accordance with the rules of my account, and I agree to hold harmless and without liability IRAR Trust Company under the foregoing hold harmless provision. I understand that no one at IRAR Trust Company has authority to agree to anything different than my foregoing understandings of IRAR Trust Company's policy. If any provision of this Buy Direction Letter is found to be illegal, invalid, void, or unenforceable, such provision shall be severed and such illegality or invalidity shall not affect the remaining provisions, which shall remain in full force and effect. I declare that I have examined this document, including accompanying information, and to the best of my knowledge and belief, it is true, correct and complete.
Transactions with insufficient funds will not be processed until sufficient funds are received. If fees are being deducted from your account, the full amount of the transaction plus fees must be available before your transaction can be processed.
IRAR Trust Company does not provide tax, legal, or investment advice. It does not endorse or recommend any agent, company, or specific investment. Any information communicated by IRAR Trust Company is solely for educational purposes and should not be construed as tax, legal, or investment advice. Consultations with tax, legal, and investment professionals is advised prior to making any decisions regarding your account.
I have read and understand the disclosure above.

SIGNATURE DATE